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DUANE MORRIS LLP
One Liberty Place
Philadelphia, Pennsylvania 19103-7396

In re: Aguais et al : DECISION ON REQUEST
Serial No.: 10/044,071 : UNDER 37 CFR 5.25
Filing date: 10 January 2002
Docket No: D3398-00033

Title: SYSTEM AND METHODS FOR VALUING AND MANAGING THE RISK OF
CREDIT INSTRUMENTS

In view of the communication(s) filed 20 February 2002, it has been determined that a retroactive license for foreign filing under 35 U.S.C. 184 be granted with respect to the filings listed below. The petition complies with 37 C.F.R. 5.25 in that there is an adequate showing that the subject matter in question was not under secrecy order, that the license was diligently sought after discovery of the proscribed foreign filing, and that the material was filed abroad without the required license under 37 C.F.R. 5.11 first having been obtained through error and without deceptive intent.

<u>Country</u>	<u>Date</u>
CANADA	10 January 2002

Approved:

Ian J. Lobo
Patent Examiner
Group 3600
(703) 306-4161

**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**
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SERIAL NUMBER	REQUEST DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
10/044,071	2/20/02	AGUAIS, ET AL	D3398-00033

**Title: SYSTEM AND METHODS FOR VALUING AND
MANAGING THE RISK OF CREDIT INSTRUMENT
PORTFOLIOS**

Correspondence Address:

STEPHEN E. KOFFS
DUANE MORRIS LLP
ONE LIBERTY PLACE
PHILADELPHIA PA. 19103-7396

Art Unit	Paper Number
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Art Unit

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Licensee under 35 U.S.C. 184 is hereby granted to file in any foreign country a patent application and any amendments thereto corresponding to the subject matter of this U.S. application identified above and/or any material accompanying the petition. This license is conditioned upon modification of any applicable secrecy order and is subject to revocation without notice.

License Number: 528 447

This license is granted retroactively to the date(s) and the country(s) indicated on the attached decision.

~~Grant Date:~~

05-Mar-02

~~Approved~~

for Commissioner of Patents and Trademarks

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This license is granted under 37 CFR 5.15(a)

This license is to be retained by the licensee and may be used at anytime on or after the date thereof.
This license is not retroactive unless specifically indicated.

The grant of this license does not in any way lessen the responsibility of the licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations, especially with respect to certain countries, of other agencies, particularly the Department of the Treasury; Office of Munitions Control, Department of State (with respect to Arms, Munitions and Implements of War); the Bureau of Trade Regulation, Office of Export Administration, Department of Commerce; and the Department of Energy.

LICENSE FOR FOREIGN FILING

[Title 35, United States Code (1952) Sections 184, 185, 186]